



DOCKET NO. PARE.002.02US

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In re the application of: Robert F. Balint, *et al.*] Art Unit: 1645

Serial No. 09/764,163] Examiner:

Filed: January 16, 2001

U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document		Class/	Filing
Desig.	Initials	Number	Date	Subclass	Date
A1	PP	6,294,330	09/25/01	Michnick, et al	07/30/98
A2	PP	6,270,964	08/07/01	Michnick, et al	02/02/98

PENDING U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document Number	Name	Filing Date
Desig.	Initials			

FOREIGN PATENT DOCUMENTS

Ref.	Examiner's	Document		Class/		
Desig.	Initials	Number	Date	Country	Subclass	Filing Date
B6	PP	WO 96 30540	10/03/96	PGT WIPD		03/20/96

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.	Examiner's	
Desig.	Initials	
C20	PP	Ostermeier, et al, A combinatorial approach to hybrid enzymes independent of DNA homology, <i>Nature Biotechnology</i> , vol. 17, no. 12, pp. 1205-1209, Dec. 1999.

Examiner: P. Ponnaluri Date Considered: 4/27/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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C21 *PP* Ostermeier et al., Combinatorial protein engineering by incremental truncation,
Proc. Natl. Acad. Sci., vol. 96, pp. 3562-3567, March 1999.

Examiner:

P. Pennalun'

Date Considered:

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PENDING U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Filing Date
D1	pp	09/526,106	(pending application)	Balint, B.F., <i>et al.</i>	3-15-00

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
B1		WO 98 44350	10-8-98	PCT WIPO		4-2-98
B2		WO 98 34120	8-6-98	PCT		2-2-98
B3		WO 95 29195	11-2-95	PCT		4-7-95
B4		WO 99 28746	6-10-99	PCT		12-4-98
B5		WO 00 07038	2-10-00	PCT		7-30-99

Examiner: P. PonnaluriDate Considered: 4/26/04

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Examiner: Not Yet Assigned

Filed: January 16, 2001

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's
Desig. Initials

C18 *pp* Pelletier, *et al*, Protein Engineering. (1997), 10:89
C19 *pp* Johnson, *et al*, Proc Natl Acad Sci. (1994) 91:10340-10344

Examiner: *P. Ponnaluri*

Date Considered: *4/27/04*

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's
Desig. Initials

C1 P Blackwood and Eisenman, *Science* (1991) 251:1211.
 C2 Defeo-Jones *et al.*, *Nature* (1991) 352:251.
 C3 Fields and Song, *Nature* (1989) 340:245.
 C4 Chien *et al.*, *Proc. Natl. Acad. Sci.* (1991) 88:9578.
 C5 Zervos *et al.*, *Cell* (1993) 72:223.
 C6 Vojtek *et al.*, *Cell* (1993) 74:205.
 C7 Luban *et al.*, *Cell* (1993) 73:1067.
 C8 Bartel *et al.*, *Nature Genetics* (1996) 2:72.
 C9 Fromont-Racine *et al.*, *Nature Genetics* (1997) 3:277.

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C10	PP	Xu <i>et al.</i> , <i>Proc. Natl. Acad. Sci</i> (1997) 94:12473.
C11		Bartel <i>et al.</i> , <i>Biotechniques</i> (1993) 14:920.
C12		Krebber <i>et al.</i> , <i>J. Mol. Biol.</i> (1997) 268:607.
C13		Cubitt <i>et al.</i> , <i>Trends Biochem</i> (1995) 20:448.
C14		Rossi <i>et al.</i> , <i>Proc. Natl. Acad. Sci</i> (1997) 94:8405.
C15		Pelletier <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1998) 95:12141.
C16		Karimova <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1998) 95:5752.
C17	✓	Pieper <i>et al.</i> <i>Biochemistry</i> , (1997) 36: 8767-8774.

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